

Erin Dely

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05/16/05
By: Patti Hespell
Patti Hespell

Mail Stop Amendment

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of Charles A. Eldering

Conf. No.: 3153 : Group Art Unit: 2614
Appln. No.: 09/516,983 : Examiner: Sheleheda, James R
Filing Date: 03/01/2000 : Att'y. Docket No.: T702-02 (8887.3002CNT)
Title: SUBSCRIBER CHARACTERIZATION SYSTEM WITH FILTERS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each of the documents listed on the attached Information Disclosure Statement Citation Form PTO/SB/08A & 08B may be material to the patentability of the above-identified patent application and/or may result in a duty to disclose in accordance with 37 C.F.R. §1.56.

In accordance with the official waiver posted July 11, 2003, copies of the U.S. Patents and U.S. Patent Publications listed on the attached Form PTO/SB/08A are not being provided. However, copies of the foreign references and non-patent documents are enclosed. The official waiver withdraws the requirement under 37 C.F.R. § 1.98(a)(2)(i) for submitting copies of each listed U.S. Patent and U.S. Patent Application Publication with respect to applications filed after June 30, 2003. Copies of the listed U.S. Patent documents will be provided upon request.

The filing of this Information Disclosure Statement shall not be construed as an admission that any of the listed documents constitute prior art, nor as an admission against interest in any manner.

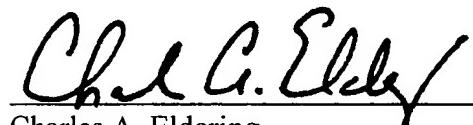
This Information Disclosure Statement is being submitted:

- (1) within three (3) months of the filing date, entry into national phase in an international application or before the mailing date of the First Office Action after the filing of an RCE; or
- (2) after the period defined in (1) above but before the mailing date of a Final Rejection or Notice of Allowance, and
- Certification is made below, or
- The check or authorization to charge deposit account in the amount of the fee set forth by 37 C.F.R. §1.17(p) is attached.
- (3) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and
- Applicant hereby Petitions the Commissioner to consider the attached references; Certification is made below and the fee is included.

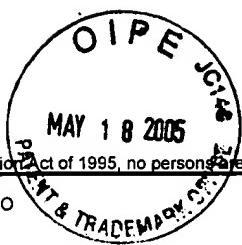
No fee is believed to be due in connection with the filing of this Information Disclosure Statement since it is being filed before the first Office Action on the merits of the above-identified application after the filing of an RCE. However, the Commissioner is hereby authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 501535.

It is respectfully requested that this Information Disclosure Statement and the documents listed on the attached Form PTO/SB/08A and PTO/SB/08B be considered and acknowledged by the Examiner in connection with the above-identified patent application, be made of record therein, and that the listed document(s) be cited in the issued patent.

Respectfully submitted,


Charles A. Eldering
Reg. No. 39,180
Technology, Patents and Licensing Inc.
6206 Kellers Church Road
Pipersville, PA 18947
(215) 766-2100

Date: May 16, 2005



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through January 1992. GPO 1987-090.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of 12

Complete if Known	
Application Number	09/516,983
Filing Date	03/01/2000
First Named Inventor	Eldering, Charles
Art Unit	2614
Examiner Name	Sheleheda, James R
Attorney Docket Number	T702-02 (8887.3002)

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
BBB	WO98721713		11-12-1998	LEVILLE, KEVIN J. et al	

Examiner Signature		Date Considered	
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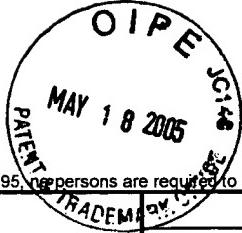
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Application Number	09/516,983
Filing Date	01 MARCH 2000
First Named Inventor	ELDERING, CHARLES A.
Art Unit	2711
Examiner Name	BUI, KIEU OANH T
Attorney Docket Number	
T702-02	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CB	RASKUTTI, et al., "Acquiring Customer Preferences for Information Filtering: a Heuristic-Statistical Approach", Proceedings of the 5th Int'l Conference on User Modeling, Kaluna-Kona, HI, USA, 2-5 January, 1996.	

Examiner Signature	Date Considered
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05/16/05